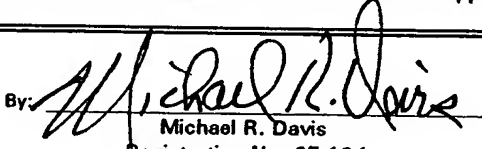


O I P E 70570 PATENT APPLICATION TRANSMITTAL AUG 22 2003 <i>(Only for new nonprovisional applications under 37 CFR 1.53(b))</i>		Attorney Docket No.: 2003_1164A	
		First Named Inventor : Yoichiro NUMASAWA et al.	
		Title: METHOD FOR FORMING AN OXYGEN- OR NITROGEN-TERMINATED SILICON NANOCRYSTALLINE STRUCTURE AND AN OXYGEN- OR NITROGEN-TERMINATED SILICON NANOCRYSTALLINE STRUCTURE FORMED BY THE METHOD	
		Express Mail Label No.:	
APPLICATION ELEMENTS <i>See MPEP chapter 600 concerning utility patent application contents.</i>		Commissioner for Patents ADDRESS TO: P.O. Box 1450 Alexandria, VA 22313-1450	
<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form <i>(Submit an original, and a duplicate for fee processing)</i></p> <p>2. <input type="checkbox"/> Small Entity Status is hereby asserted.</p> <p>3. <input checked="" type="checkbox"/> Specification <i>[Total Pages: 41]</i> <i>(preferred arrangement set forth below)</i></p> <ul style="list-style-type: none">- Descriptive title of the Invention- Cross References to Related Applications- Statement Regarding Fed sponsored R & D- Reference to Sequence Listing, a table, or a computer program listing appendix.- Background of the Invention- Brief Summary of the Invention- Brief Description of the Drawings <i>(if filed)</i>- Detailed Description- Claim(s)- Abstract of the Disclosure <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) (A4P) <i>[Total Sheets: 5]</i></p> <p>5. <input checked="" type="checkbox"/> Oath or Declaration <i>[Total Pages : 3]</i></p> <ul style="list-style-type: none">a.1. <input checked="" type="checkbox"/> Newly executed (original or copy)a.2. <input type="checkbox"/> Unexecutedb. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 18 completed)</i>i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). <p>6. <input type="checkbox"/> Application Data Sheet (see 37 CFR 1.76)</p>		<p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or computer program (Appendix)</p> <p>8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)</p> <ul style="list-style-type: none">a. <input type="checkbox"/> Computer Readable Formb. Specification Sequence Listing on:<ul style="list-style-type: none">i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); orii. <input type="checkbox"/> Paperc. <input type="checkbox"/> The paper and computer readable copies are identical	
		ACCOMPANYING APPLICATION PARTS	
		<p>9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))</p> <p>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement (when there is an assignee) <input type="checkbox"/> Power of Attorney</p> <p>11. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>13. <input type="checkbox"/> Preliminary Amendment</p> <p>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</p> <p>15. <input checked="" type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i></p> <p>16. <input type="checkbox"/> Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.</p> <p>17. <input checked="" type="checkbox"/> Other Claim of Priority</p>	
<p>18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below, and in a preliminary amendment, or in an Application Data Sheet :</p> <p><input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No.</p> <p>Prior Application Information: Examiner Group Art Unit</p> <p>For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference therein. The incorporation <u>can only</u> be relied upon when a portion has been inadvertently omitted from the submitted application parts.</p>			
19. CORRESPONDENCE ADDRESS			
CUSTOMER NO. 000513		By:  Michael R. Davis Registration No. 25,134	
		WENDEROTH, LIND & PONACK, L.L.P. 2033 "K" Street, N.W., Suite 800 Washington, D.C. 20006-1021 Phone: (202) 721-8200 Fax: (202) 721-8250	
		August 22, 2003	
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Yoichiro NUMASAWA et al.

Serial No. NEW

Filed August 22, 2003

Attn: APPLICATION BRANCH

Attorney Docket No. 2003-1164A



METHOD FOR FORMING AN OXYGEN- OR
NITROGEN-TERMINATED SILICON
NANOCRYSTALLINE STRUCTURE AND AN
OXYGEN- OR NITROGEN-TERMINATED
SILICON NANOCRYSTALLINE
STRUCTURE FORMED BY THE METHOD

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PATENT OFFICE FEE TRANSMITTAL FORM

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Sir:

Attached hereto is a check in the amount of \$790.00 to cover Patent Office fees relating to filing the following attached papers:

New application \$750.00

Assignment for Recordal \$ 40.00

A duplicate copy of this paper is being submitted for use in the Accounting Division, Office of Finance.

The Commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of deficiencies in fees for multiple dependent claims in new applications.

Respectfully submitted,

Yoichiro NUMASAWA et al.

By:

Michael R. Davis
Registration No. 25,134
Attorney for Applicants

MRD/pth
WENDEROTH, LIND & PONACK, L.L.P.
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Telephone (202) 721-8200
August 22, 2003

[Check No. _____]

2003-1164A



ATTY DOCKET # 2003-1164A

Due Date: August 23, 2003

OUR REF: 2003-1164A/MRD/00274

Applicant: Yoichiro NUMASAWA et al.

Serial No.: NEW Filing Date: August 22, 2003

Title: METHOD FOR FORMING AN OXYGEN- OR NITROGEN-TERMINATED SILICON NANOCRYSTALLINE STRUCTURE AND AN OXYGEN- OR NITROGEN-TERMINATED SILICON NANOCRYSTALLINE STRUCTURE FORMED BY THE METHOD

Receipt of the following papers is acknowledged:

1. Utility Patent Application Transmittal (37 CFR 1.53(b))
2. Patent Office Fee Transmittal Form [in duplicate]
3. Specification comprising 41 pages, including claims and abstract
4. 4 sheets drawings (A4P, Figs. 1(a)-5)
5. Executed Declaration and Power of Attorney
6. Recordation Form Cover Sheet, and Assignment
7. Claim of Priority Under 35 U.S.C. § 119, and certified copy of Japanese Patent Application No. 2002-243342
8. Check in the amount of \$790.00

22387 U.S. PTO
10/645908
08/22/03

Date: August 22, 2003

Attorney: MRD/pth

[Check No. 57258]

WENDEROTH, LIND & PONACK, L.L.P.

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NUMASAWA	NEW 0274	New Utility Application	2003_1164A MRD	750.00
NUMASAWA	NEW 0274	Assignment Recordation	2003_1164A MRD	40.00

MEMO

Michael R. Quire
AUTHORIZED SIGNATURE

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